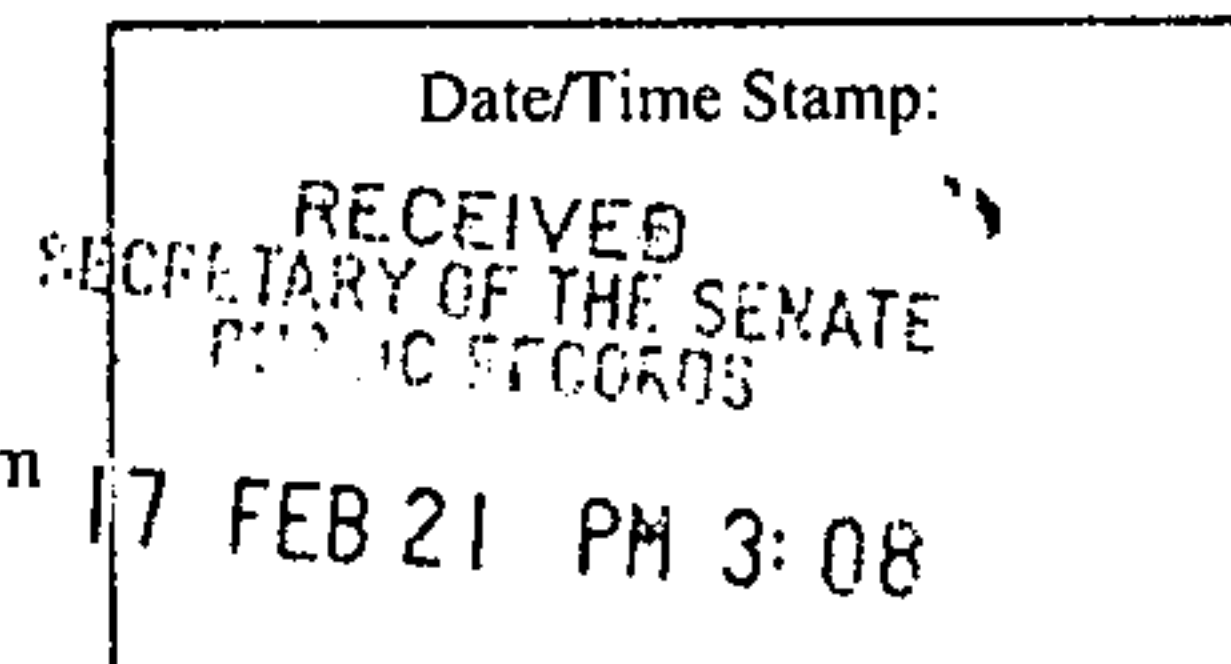


Employee Post-Travel Disclosure of Travel Expenses

Post-Travel Filing Instructions: Complete this form within **30 days** of returning from travel. Submit all forms to the **Office of Public Records in 232 Hart Building.**



In compliance with Rule 35.2(a) and (c), I make the following disclosures with respect to travel expenses that have been or will be reimbursed/paid for me. I also certify that I have attached:

- ☒ The original *Employee Pre-Travel Authorization* (Form RE-1), **AND**
☒ A copy of the *Private Sponsor Travel Certification Form* with all attachments (itinerary, invitee list, etc.)

Private Sponsor(s) (list all): New York State Bar Association

Travel date(s): 1/24/17 to 1/25/17

Name of accompanying family member (if any): _____

Relationship to Traveler: ☐ Spouse ☐ Child

IF THE COST OF LODGING DID NOT INCREASE DUE TO THE ACCOMPANYING SPOUSE OR DEPENDENT CHILD, ONLY INCLUDE LODGING COSTS IN EMPLOYEE EXPENSES. (Attach additional pages if necessary.)

Expenses for Employee:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input checked="" type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount	\$350.09	\$168.00	\$125	\$29.00 (lodging tax)

Expenses for Accompanying Spouse or Dependent Child (if applicable):

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses (Amount & Description)
<input type="checkbox"/> Good Faith Estimate <input type="checkbox"/> Actual Amount				

Provide a description of all meetings and events attended. See Senate Rule 35.2(c)(6). (Attach additional pages if necessary.):

Attended several panels on various tax reform/CPA-related subjects

2-22-17
(Date)

MARK A. PRATER
(Printed name of traveler)

[Signature]
(Signature of traveler)

TO BE COMPLETED BY SUPERVISING MEMBER/OFFICER:

I have made a determination that the expenses set out above in connections with travel described in the *Employee Pre-Travel Authorization* form, are necessary transportation, lodging, and related expenses as defined in Rule 35.

2/22/17
(Date)

[Signature]
(Signature of Supervising Senator/Officer)

EMPLOYEE PRE-TRAVEL AUTHORIZATION

Date/Time Stamp:

U.S. SENATE
COMMITTEE ON ETHICS

17 JAN 17 AM 3:27

Pre-Travel Filing Instructions: Complete and submit this form at least 30 days prior to the travel departure date to the Select Committee on Ethics in SH-220. Incomplete and late travel submissions will not be considered or approved. This form must be typed and is available as a fillable PDF on the Committee's website at ethics.senate.gov. Retain a copy of your entire pre-travel submission for your required post-travel disclosure.

Name of Traveler: Mark Prater

Employing Office/Committee: Senate Finance Committee

Private Sponsor(s) (list all): New York State Bar Association

Travel date(s): 1/24/2017 - 1/25/2017

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): New York City, NY

Explain how this trip is specifically connected to the traveler's official or representational duties:

As the Chief Tax Counsel of the Finance Committee, it is part of my official duties to be up to date on current tax legislation and policies. At this event I will be discussing current developments in tax law and discussion it with relevant stakeholders.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

I certify that the information contained in this form is true, complete and correct to the best of my knowledge:

1-17-17
(Date)

Mark A. Prater
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):

I, SENATOR ORRIN HATCH hereby authorize MARK PRATER
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

1/17/17
(Date)

Orin Hatch
(Signature of Supervising Senator/Officer)

U.S. SENATE
COMMITTEE ON ETHICS

16 DEC 22 PM 12:27

Mark Prater

Name of Traveler: _____

Employing Office/Committee: Senate Finance Committee

Private Sponsor(s) (list all): New York State Bar Assoc. & New York Bar Foundation

Travel date(s): 1/24/2017

Note: If you plan to extend the trip for any reason you must notify the Committee.

Destination(s): New York City, NY & Washington, DC

As the Chief Tax Counsel of the Finance Committee, it is part of my official duties to be up to date on current tax legislation and policies. At this event I will be discussing current developments in tax law and discussing it with relevant stakeholders.

Name of accompanying family member (if any): _____

Relationship to Employee: ☐ Spouse ☐ Child

12-21-2016
(Date)

M. A. P.
(Signature of Employee)

TO BE COMPLETED BY SUPERVISING SENATOR/OFFICER (President of the Senate, Secretary of the Senate, Sergeant at Arms, Secretary for the Majority, Secretary for the Minority, and Chaplain):


I, Orvin Hatch hereby authorize Mark Prater
(Print Senator's/Officer's Name) (Print Traveler's Name)

an employee under my direct supervision, to accept payment or reimbursement for necessary transportation, lodging, and related expenses for travel to the event described above. I have determined that this travel is in connection with his or her duties as a Senate employee or an officeholder, and will not create the appearance that he or she is using public office for private gain.

I have also determined that the attendance of the employee's spouse or child is appropriate to assist in the representation of the Senate. (signify "yes" by checking box) ☐

12-21-16
(Date)

ee's spouse or child is appropriate to assist in the representation


(Signature of Supervising Senator/Officer)

PRIVATE SPONSOR TRAVEL CERTIFICATION FORM

This form must be completed by any private entity offering to provide travel or reimbursement for travel to Senate Members, officers, or employees (Senate Rule 35, clause 2). Each sponsor of a fact-finding trip must sign the completed form. The trip sponsor(s) must provide a copy of the completed form to each invited Senate traveler, who will then forward it to the Ethics Committee with any other required materials. The trip sponsor(s) should NOT submit the form directly to the Ethics Committee. Please consult the accompanying instructions for more detailed definitions and other key information.

The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

1. Sponsor(s) of the trip (please list all sponsors): Tax section of the New York State Bar Association
(a section 501(c)(6) organization) and the New York Bar Foundation (a section 501(c)(3) organization)
2. Description of the trip: Mr. Prater has been invited to participate on a panel about tax reform at the annual meeting of the New York State Bar Association ("NYSBA") tax section.
3. Dates of travel: January 24, 2017
4. Place of travel: New York City, NY
5. Name and title of Senate invitees: Mark Prater, Deputy Staff Director, Chief Tax Counsel, Sen. Fin. Com.
6. I certify that the trip fits one of the following categories:
 - ☒ (A) The sponsor(s) are not registered lobbyists or agents of a foreign principal and do not retain or employ registered lobbyists or agents of a foreign principal and no lobbyist or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.
- OR -
 - ☐ (B) The sponsor or sponsors are not registered lobbyists or agents of a foreign principal, but retain or employ one or more registered lobbyists or agents of a foreign principal and the trip meets the requirements of Senate Rule 35.2(a)(2)(A)(i) or (ii) (*see question 9*).
7. ☒ I certify that the trip will not be financed in any part by a registered lobbyist or agent of a foreign principal.
- AND -
☒ I certify that the sponsor or sponsors will not accept funds or in-kind contributions earmarked directly or indirectly for the purpose of financing this specific trip from a registered lobbyist or agent of a foreign principal or from a private entity that retains or employs one or more registered lobbyists or agents of a foreign principal.
8. I certify that:
 - ☒ The trip will not in any part be planned, organized, requested, or arranged by a registered lobbyist or agent of a foreign principal except for *de minimis* lobbyist involvement.
- AND -
 - ☒ The traveler will not be accompanied on the trip by a registered lobbyist or agent of a foreign principal except as provided for by Committee regulations relating to lobbyist accompaniment (*see question 9*).

9. **USE ONLY IF YOU CHECKED QUESTION 6(B)**

I *certify* that if the sponsor or sponsors retain or employ one or more registered lobbyists or agents of a foreign principal, one of the following scenarios applies:

☐ (A) The trip is for attendance or participation in a one-day event (exclusive of travel time and one overnight stay) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip.

– OR –

☐ (B) The trip is for attendance or participation in a one-day event (exclusive of travel time and two overnight stays) and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *on any segment* of the trip (*see questions 6 and 10*).

– OR –

☐ (C) The trip is being sponsored only by an organization or organizations designated under § 501(c)(3) of the Internal Revenue Code of 1986 and no registered lobbyists or agents of a foreign principal will accompany the Member, officer, or employee *at any point* throughout the trip.

10. **USE ONLY IF YOU CHECKED QUESTION 9(B)**

If the trip includes two overnight stays, please explain why the second night is practically required for Senate invitees to participate in the travel:

11. ☒ An itinerary for the trip is attached to this form. I *certify* that the attached itinerary is a detailed (hour-by-hour), complete, and final itinerary for the trip.

12. Briefly describe the role of each sponsor in organizing and conducting the trip:

The NYSBA tax section annual meeting presents panels on important current tax issues.

13. Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

An essential part of the mission of the NYSBA tax section is to educate its members by providing continuing legal education about important current tax issues.

14. Briefly describe each sponsor's prior history of sponsoring congressional trips:

In past years congressional staff members have participated as speakers at the annual meetings of the NYSBA Tax Section.

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15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

An essential part of the mission of the NYSBA tax section is to educate its members by providing continuing legal education about important current tax issues.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	\$500 (coach airfare)	none	lunch provided to all attendees	\$120 (cabs to and from airports)
<input type="checkbox"/> Actual Amounts				

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

The annual meeting of the NYSBA tax section is arranged and organized without regard to congressional participation.

18. Reason for selecting the location of the event or trip

Midtown Manhattan in New York City is the best location for a meeting of the NYSBA tax section because a large portion of New York tax professionals practice in New York City.

19. Name and location of hotel or other lodging facility:

New York Hilton located in midtown Manhattan (53rd St. and 6th Ave.)

20. Reason(s) for selecting hotel or other lodging facility:

The New York Hilton is centrally located and has sufficient space for a meeting of this size (normally 750 to 1000 attendees).

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

The expenses for this trip are the minimum reasonably necessary for Mr. Prater's transportation to and from the meeting in New York City.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Coach airfare and cabs

23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

none

25. I hereby *certify* that the information contained herein is true, complete and correct. (You must include the completed signature block below for each travel sponsor.):

Signature of Travel Sponsor:

Elizabeth Derrico

Name and Title:

Associate Executive Director

Name of Organization:

NYSBA

Address:

One Elk St. Albany NY 12207

Telephone Number:

518/487.5678

Fax Number:

E-mail Address:

ederrico@nysba.org



NEW YORK STATE BAR ASSOCIATION
Serving the legal profession and the community since 1876

TAX SECTION MEETING

TAX SECTION MEETING

Tuesday, January 24, 2017 8:45 AM

8:45 AM - 4:00 PM

Total MCLE Credits: 5.00 (Professional Practice 5.00)

#nysba17

[Register Here](#) or Download The Registration [Form Here](#).

Morning Programs: Trianon & Rendezvous Rooms, 3rd Floor

Afternoon Program: Grand Ballroom, 3rd Floor

Under New York's MCLE rule, this program has been approved for up to 5.0 credit hours in Professional Practice. This program will NOT qualify for credit for newly-admitted attorneys because it is not a basic practical skills program.

Section Chair

Stephen B. Land, Esq.

Duval & Stachenfeld LLP

TAX SECTION ANNUAL MEETING

8:45 am – 9:00 am

Business Meeting and Election of Officers

Trianon Ballroom, 3rd Floor

9:15 am – 10:30 am

Hot Topics in Partnership Taxation

Trianon Ballroom, 3rd Floor (1.5 Professional Practice)

This panel will discuss recent and expected guidance on partnerships, potentially including disguised sales, guaranteed payments, nonrecourse debt, the fractions rule, and partnership audits.

Chair: Marcy G. Geller, Simpson Thacher & Bartlett LLP, New York City

Glenn E. Dance, Special Counsel, Office of Associate Chief Counsel (Pass-Throughs & Special Industries), Internal Revenue Service, Washington, DC

Peter A. Furci, Debevoise & Plimpton LLP, New York City

James B. Sowell, KPMG LLP, Washington, DC

Clifford M. Warren, Special Counsel, Office of Associate Chief Counsel (Pass-Throughs & Special Industries), Internal Revenue Service, Washington, DC

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2:15 pm – 4:00 pm

Prospects for Tax Reform in 2017

Grand Ballroom, 3rd Floor (2.0 Professional Practice)

Political realignments have created opportunities for tax reform, including corporate and individual rate reduction and base broadening, territorial or destination-based international taxation, and expanded mark to market taxation of financial products. This panel will look at possible pathways to specific legislation in these areas.

Chair: Eric Solomon, Ernst & Young LLP, Washington, DC

George A. Callas, Senior Tax Counsel, Office of the Speaker, U.S. House of Representatives (invited)

Mark A. Prater, Deputy Staff Director & Majority Chief Tax Counsel, Senate Finance Committee, Washington, DC (invited)

John Samuels, Chairman of Global Tax, The Blackstone Group, L.P., New York City

Alan D. Viard, American Enterprise Institute, Washington, DC

Philip R. Wagman, Clifford Chance US LLP, New York City

[View Flyer](#)

One Elk Street, Albany , NY 12207

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Phone: 518-463-3200 Secure Fax: 518.463.5993

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From: Stephen B. Land [mailto:sland@DSLPP.COM]
Sent: Wednesday, December 21, 2016 5:57 PM
To: Stegmaier, Jason (Finance) <Jason_Stegmaier@finance.senate.gov>
Subject: RE: Signed Form

George Callas, from the House Speaker's office, is the only other one this year.

From: Stegmaier, Jason (Finance) [mailto:Jason_Stegmaier@finance.senate.gov]
Sent: Wednesday, December 21, 2016 5:57 PM
To: Stephen B. Land
Subject: RE: Signed Form

Also, could you provide me a list of others that you are inviting from the Hill, if any.

Jason Stegmaier
Office Manager
U.S. Senate Finance Committee
219 Dirksen Senate Office Building
Washington, DC 20510
Work: (202) 224-4515
Fax: (202) 228-0554

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